

UC DAVIS - FEDERAL GRANT FINANCIAL REPORTING INFORMATION

<p>GENERAL INFORMATION</p>	<ul style="list-style-type: none"> • The University is required by Federal sponsors to submit Financial Status Reports (FSRs) on all federal awards no later than 90 days after the close of the award period. • <i>Exception</i> -- FSRs on grants with Streamlined Non-Competing Award Process (SNAP) are required at the end of each <i>project period</i>. (See NIH section below.) • Many awards are included under Expanded Authorities. Expanded Authorities waives many prior-approval requirements, including: <ul style="list-style-type: none"> ○ Carryover of unobligated balance from one budget period to another. ○ Cost-related prior approvals, including research patient care costs and equipment. (Unless there is a change in scope.) ○ Extension of final budget period of a project period without additional Federal funds. ○ Change in scope ALWAYS requires Agency approval. ○ NOTE: Agency and award specific terms and conditions may restrict or exclude Expanded Authorities. Always refer to the specific terms and conditions of the individual award and specific agency policies. • UC Davis is a member of the Federal Demonstration Partnership (FDP). FDP also waives many prior approval requirements, including those waived by Expanded Authorities, as well as additional requirements. <ul style="list-style-type: none"> ○ The FDP website is located at: http://www.nsf.gov/awards/managing/fed_dem_part.jsp ○ Prior Approval and Other Requirements Matrix is located at: http://www.nsf.gov/pubs/fdp/fdpmatrix.xls • Definitions: <ul style="list-style-type: none"> ○ <i>Budget period</i> is defined as the intervals of time (usually 12 months) into which a multi-year project period is divided for budgetary/funding purposes. ○ <i>Project period</i> is defined as the total time for which support of a project has been programmatically approved. A project period may consist of one or more budget periods.
<p>AGENCY INFORMATION</p>	<p><u>NIH Awards</u></p> <ul style="list-style-type: none"> • NIH applies FDP terms and conditions to all NIH grants and cooperative agreements with the exception of automatic carryover. Automatic carryover authority will be indicated on the Notice of Grant Award. • For certain awards, NIH uses streamlined requirements for progress report submission, the Notice of Grant Award (NGA), and financial reporting. This is termed Streamlined Noncompeting Award Process (SNAP), NIH routinely applies SNAP to most Research Project (R-series) awards (except R35s, R41s, and R43s), and Research

	<p>Career (K series) awards. As a NIH grantee, the University is expected to follow the SNAP process for those awards that are issued under SNAP, as indicated on the NGA.</p> <ul style="list-style-type: none"> • Agency specific requirements regarding FDP can be found at: http://www.nsf.gov/pubs/fdp/nih03.pdf • Additional information on NIH policies can be found at: http://grants2.nih.gov/grants/policy/nihgps_2010/index.htm
	<p><u>USDA/CSREES Awards</u></p> <ul style="list-style-type: none"> • USDA/CSREES applies FDP terms and conditions to all research and research-related grants issued to FDP members. These terms and conditions will not apply to building and facilities awards or cooperative agreements. • Agency specific requirements regarding FDP can be found at: http://www.nsf.gov/pubs/fdp/usda05.pdf • Additional information on USDA/CSREES policies can be found at: http://www.csrees.usda.gov/business/awards.html
	<p><u>NSF Awards</u></p> <ul style="list-style-type: none"> • NSF applies FDP terms and conditions to grant awards made to participating FDP organizations. The FDP Terms and Conditions do not apply to NSF cooperative agreements and fellowship awards. • Agency specific requirements regarding FDP can be found at: http://www.nsf.gov/pubs/fdp/nsf1004.pdf • Additional information on NSF policies can be found at: http://www.nsf.gov/awards/managing/
	<p><u>EPA Awards</u></p> <ul style="list-style-type: none"> • EPA applies FDP terms and conditions to research grants and cooperative agreements to FDP members funded by EPA's National Center for Environmental Research (NCER). The FDP T&Cs do not apply to contracts. • Agency specific requirements regarding FDP can be found at: http://www.nsf.gov/pubs/fdp/epa05.pdf • Additional information on EPA policies can be found at: http://www.epa.gov/
	<p><u>Other Awards</u></p> <ul style="list-style-type: none"> • Other Federal agencies apply FDP terms and conditions to their awards. For information on agencies not listed above, refer to the FDP site's "Agency Specific Requirements" section.